

# Space-Time Optical Systems for Encryption of Ultrafast Optical Data

J.-H. Chung

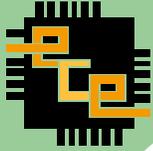
Z. Zheng

D. E. Leaird

Prof. A. M. Weiner

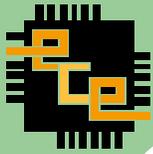
**Ultrafast Optics and Optical Fiber  
Communications Laboratory**

Electrical and Computer Engineering &  
Center for Education and Research in  
Information Assurance and Security



# Ultrahigh-Speed Optical Communications

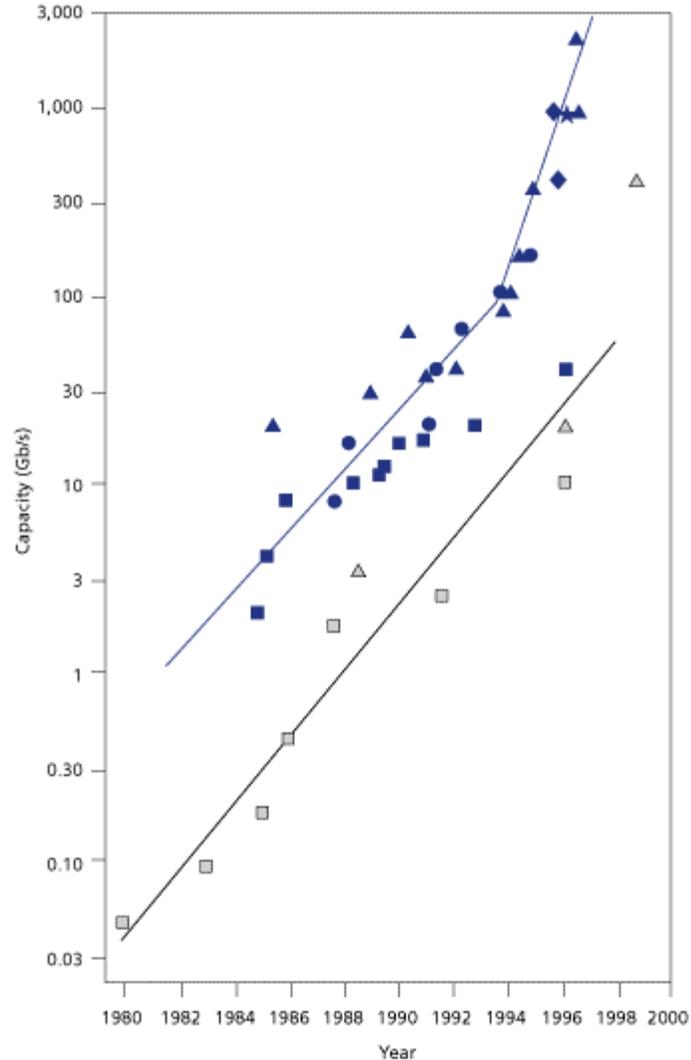
- **CAPACITY** increased at over 4 dB per year.
  - Experiments with **1 Tb/s** and higher.
  - Commercial systems with **400-Gb/s**.
- **ELECTRONIC ENCRYPTION** has difficulties above ~ **10Gbit/s**.
- Our research aims at **OPTICAL ENCRYPTION BOXES AT PHYSICAL LAYER** for such high speeds.



# Progress in Speed

Transmission capacity (though SMF) versus year

[A. R. Chraplyvy; Bell Labs Technical Journal, Vol. 4, No. 1, 1999]

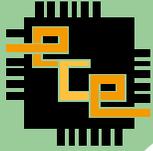


**Experimental**  
■ Single channel (ETDM)  
▲ Multi-channel (WDM)  
● Single channel (OTDM)  
◆ WDM and OTDM  
★ WDM and polarization mux

**Commercial**  
□ Single channel (ETDM)  
△ Multi-channel (WDM)

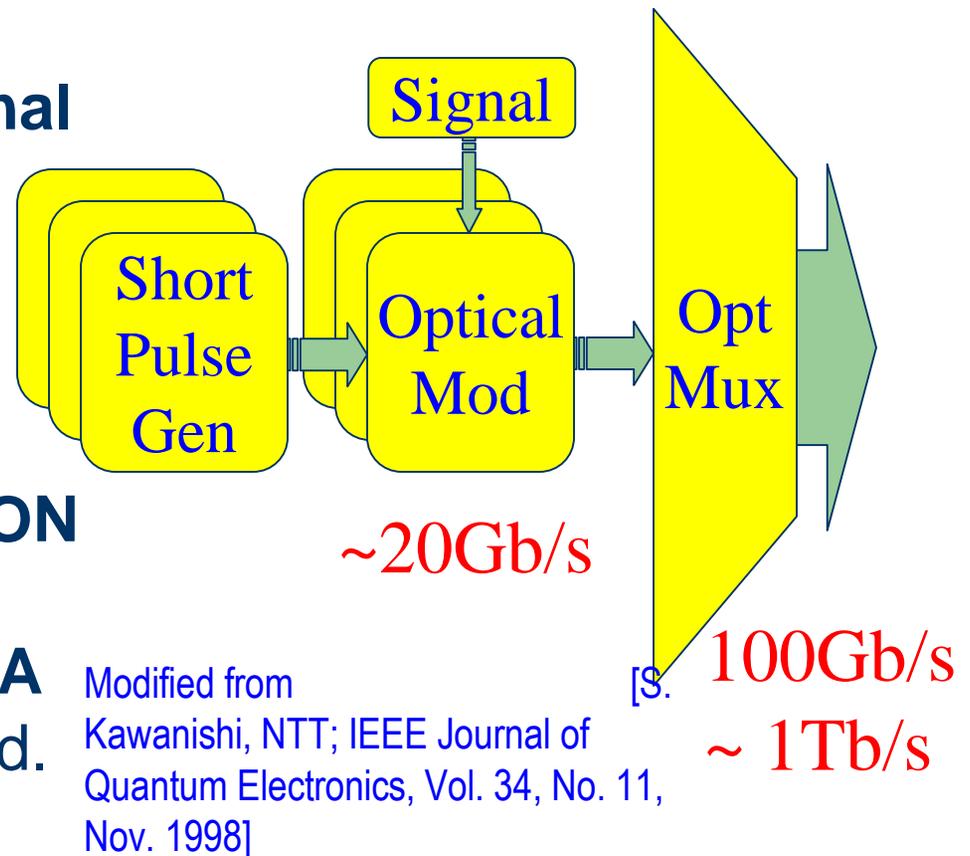
ETDM – Electronic time-division multiplexing  
Mux – Multiplexed  
OTDM – Optical time-division multiplexing  
WDM – Wavelength-division multiplexing

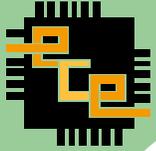




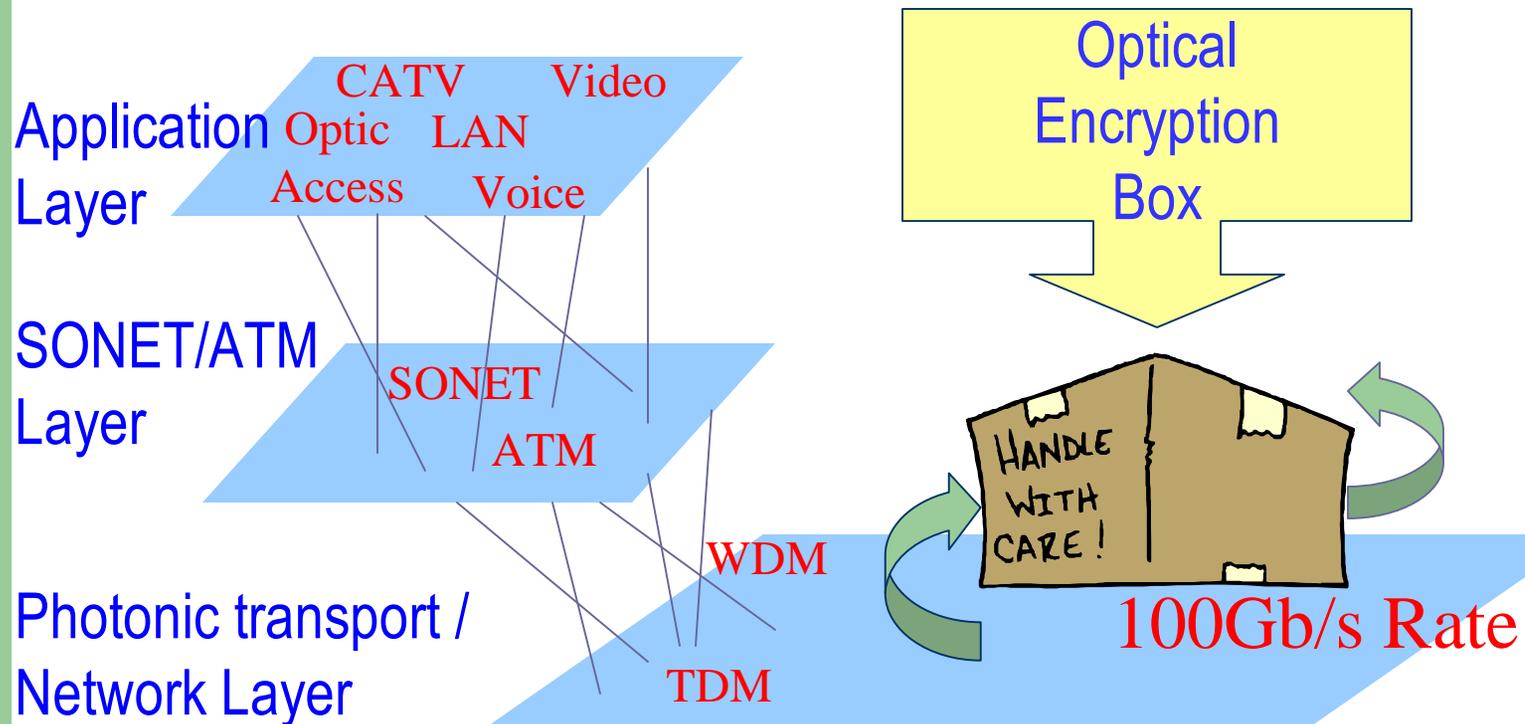
# Optical Time-Division-Multiplexed (TDM) Transmission

- Resembles **conventional electronic networks**.
- Focus on packet processing including **HEADER RECOGNITION** and **ENCRYPTION** of **TDM OPTICAL DATA** at **100 Gb/s** and beyond.

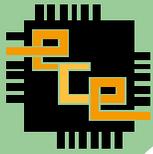




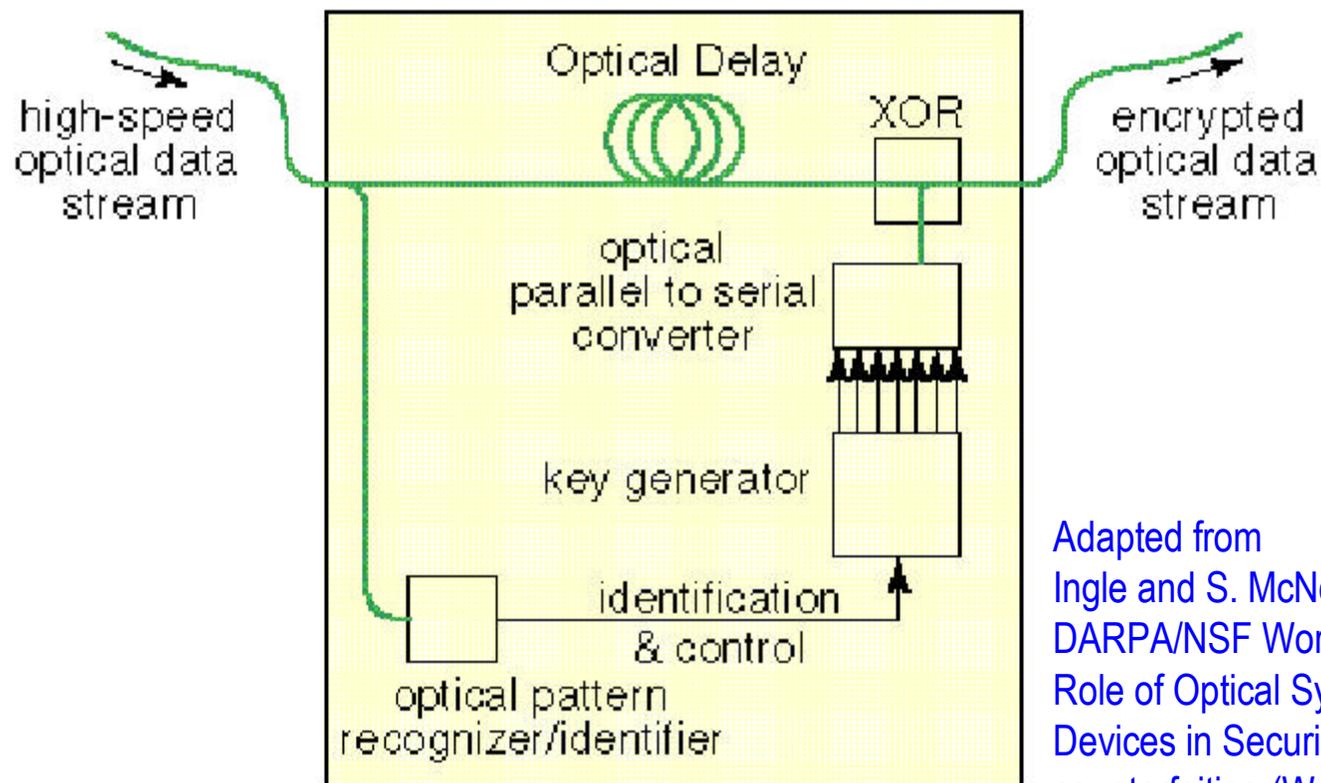
# Optical Encryption at Physical Layer



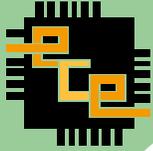
Modified from [D. Salameh *et al.*; Bell Labs Technical Journal, Vol. 3, No. 1, 1998]



# High-Speed Optical Encryption Box



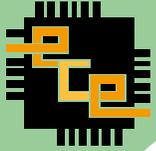
Adapted from [J. Ingle and S. McNown, DARPA/NSF Workshop on the Role of Optical Systems and Devices in Security and Anti-counterfeiting (Washington, D.C., 1996)]



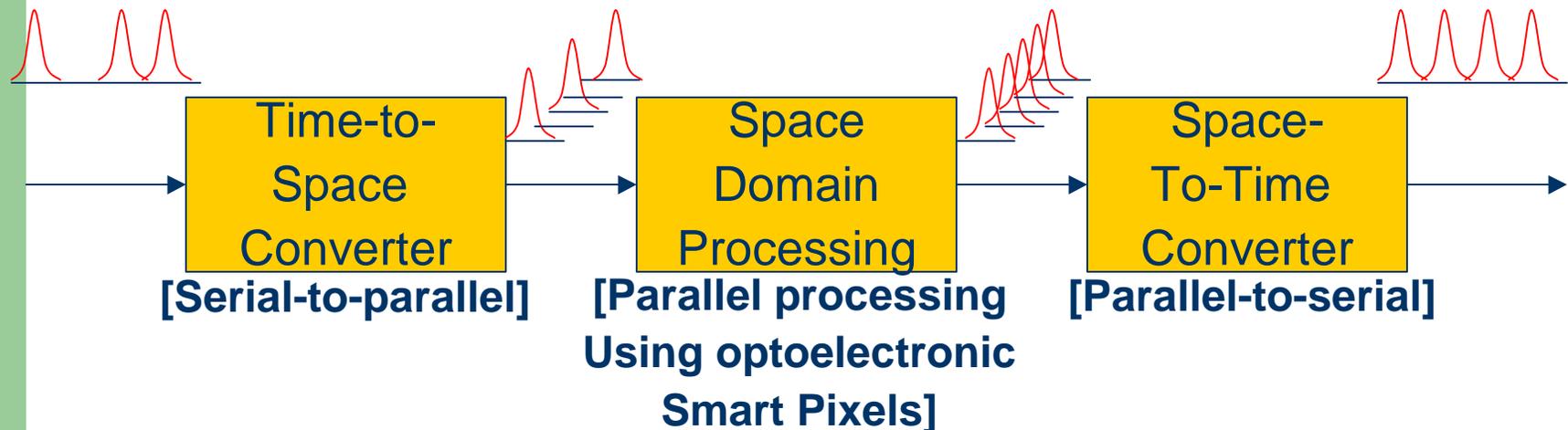
# Subsystems for Ultrahigh-Speed Optical Encryption

- **Serial-to-parallel converter** to allow header recognition and packet processing at rates compatible with electronics
- **Key generator array**
- Ultrahigh-speed **optical XOR gate** or array of high-speed **optoelectronic XOR gates** for stream cipher (for example)
- **Parallel-to-serial converter** to reform the ultrahigh-speed TDM data stream

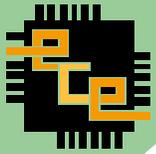
We are working on novel parallel optical/optoelectronic subsystems to implement the serial-to-parallel conversion, parallel XOR gating, and parallel-to-serial conversion subsystems.



# Approach of Space-Time Processing

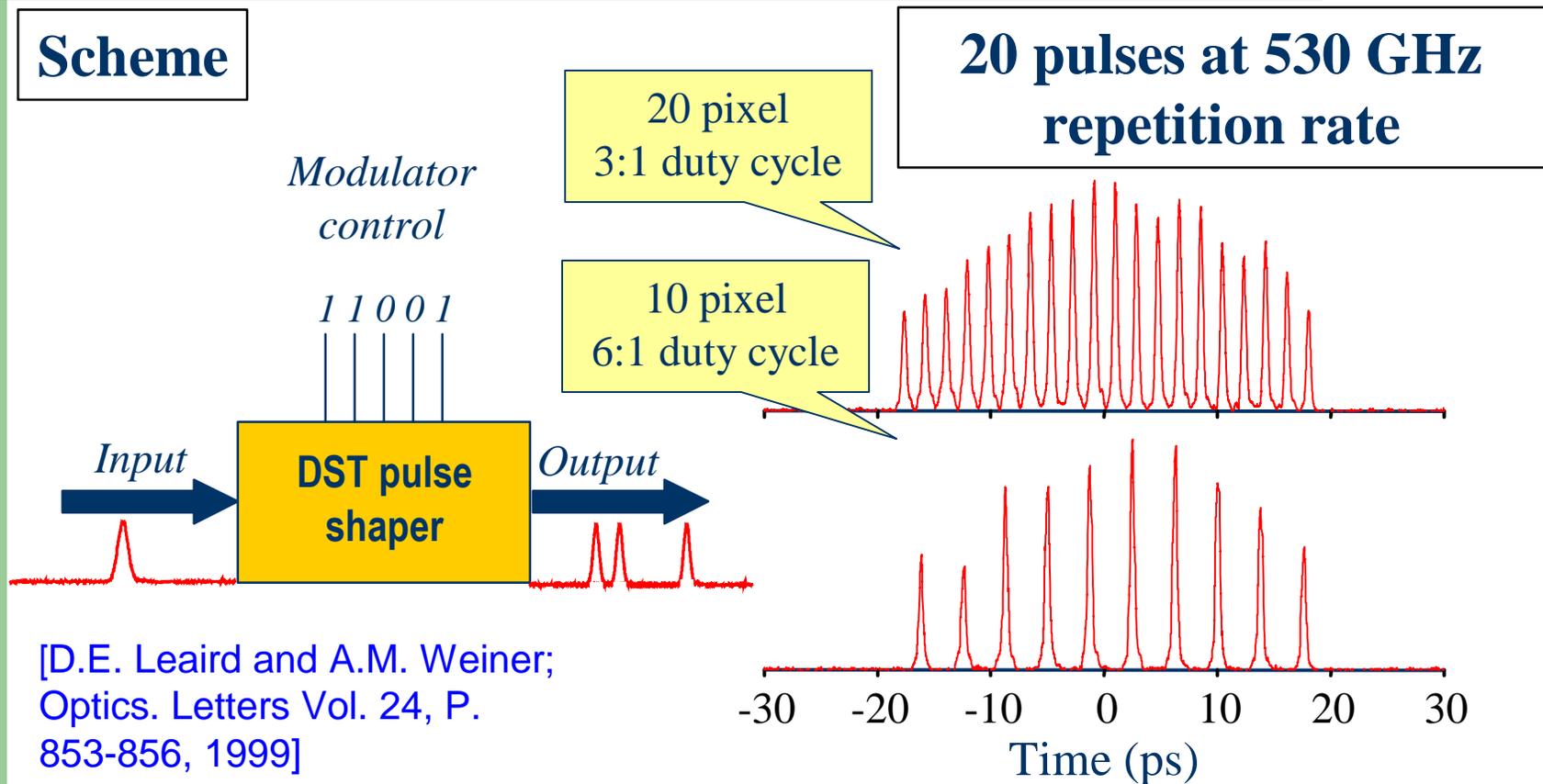


- Manipulates optical data in parallel to keep up with high speed stream.
- **Pulse shaper**: generate ultrafast test waveforms
- **Time-to-space converter**: Serial stream => Parallel data input
- **Smart Pixel optoelectronic array**: Digital logic operations like header recognition
- **Space-to-time converter**: Parallel data output => Serial stream

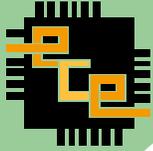


# Direct Space-To-Time Pulse Shaper (Space-To-Time Converter)

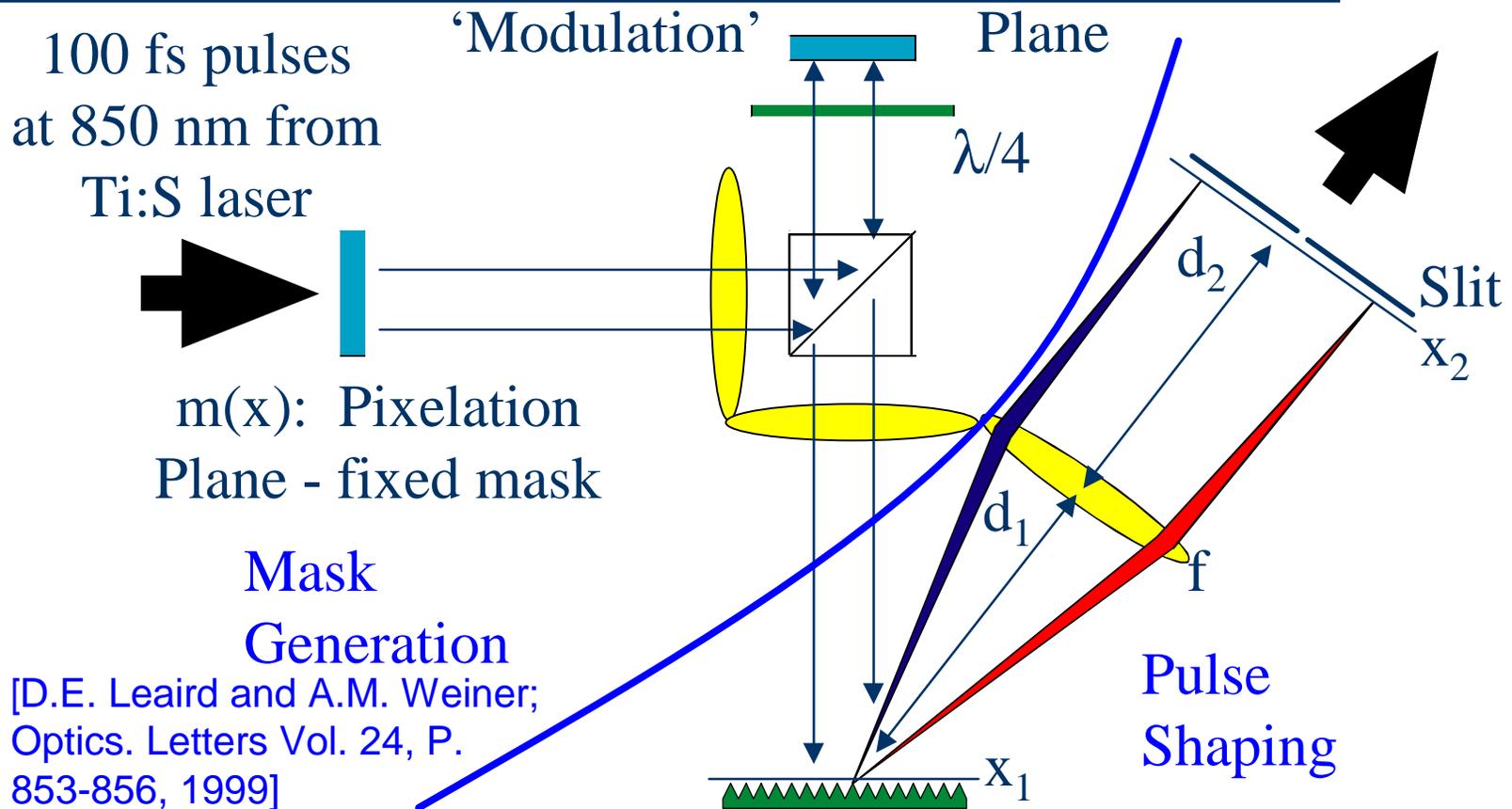
Scheme



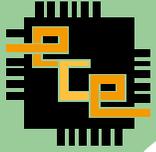
[D.E. Leaird and A.M. Weiner;  
Optics. Letters Vol. 24, P.  
853-856, 1999]



# Direct Space-To-Time Pulse Shaper Apparatus

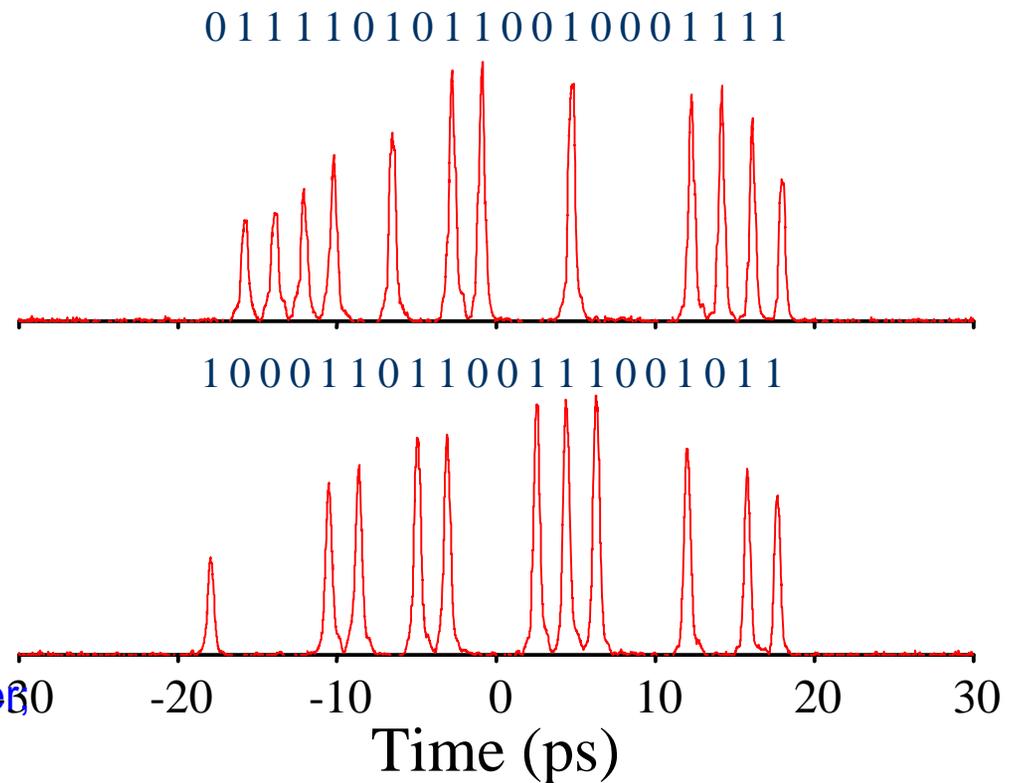


[D.E. Leaird and A.M. Weiner;  
Optics. Letters Vol. 24, P.  
853-856, 1999]

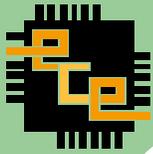


# Femtosecond Data Packets

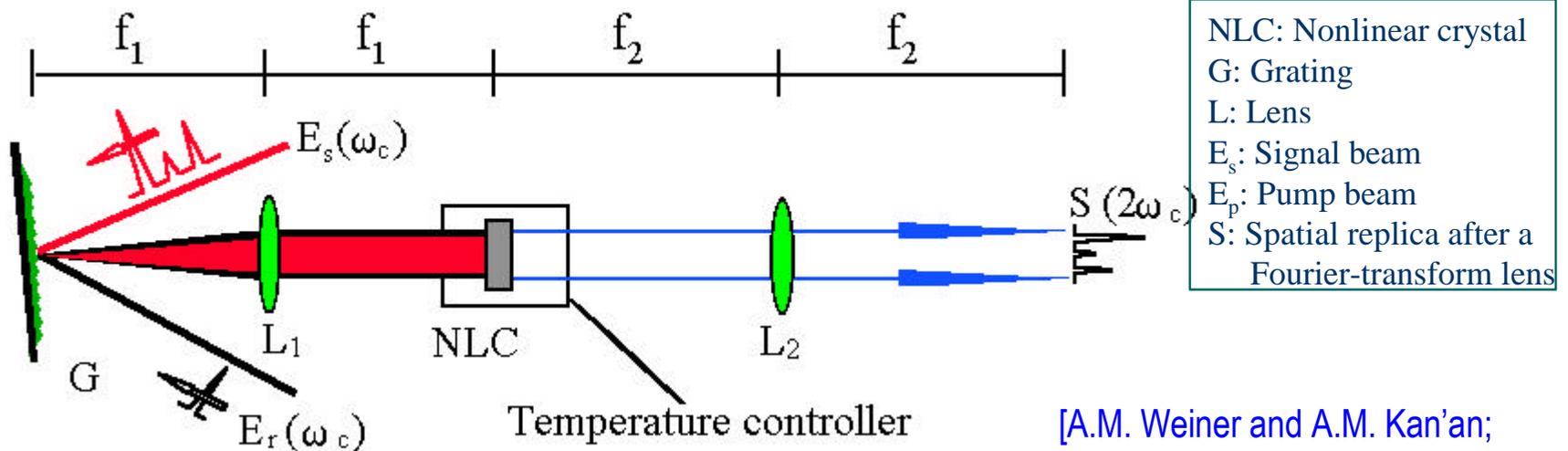
- Target application of DST.
- The 'state' of each temporal pulse is determined by the transmission at a unique spatial location.



[D.E. Leaird and A.M. Weiner  
Optics. Letters Vol. 24, P.  
853-856, 1999]



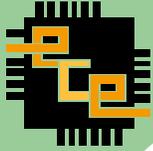
# Time-to-Space Converter



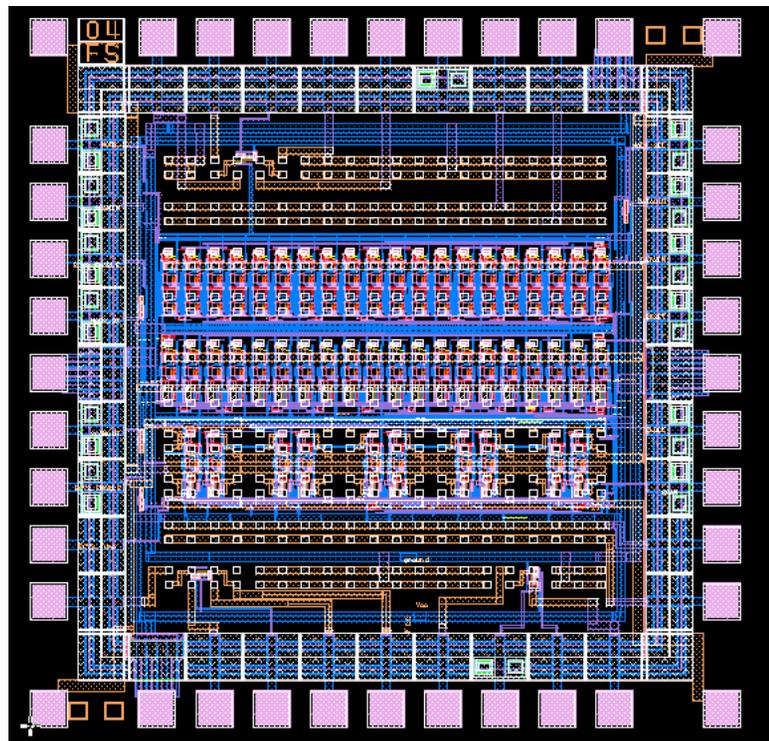
- Using a reference pulse, make Spatial replica of input signal pulses.
- We have demonstrated 500 times sensitivity improvement, which is key for operation at realistic power budgets in high-speed systems.

[A.M. Weiner and A.M. Kan'an;  
IEEE Journal of Selected Topics In  
Quantum Electronics, Vol. 4, No. 2,  
Mar/Apr. 1998]]

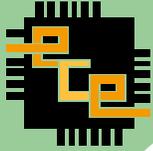
Modified form [P.C.Sun, Y.T.  
Mazurenko and Y. Fainman;  
Journal of the Optical Society of  
America A, Vol. 14, P. 1159, 1997]



# Optoelectronic-VLSI Smart Pixel Array

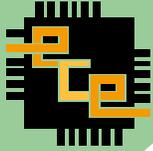


- Hybrid CMOS/GaAs from Lucent foundry
- 200 Optical I/O's
- High-speed modulator array functionality for ultrafast optical packet generation
- AND gate array functionality for experiments on ultrafast optical header recognition
- XOR gate array functionality for experiments on ultrafast optical stream cipher



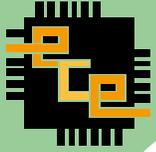
# Digital Logic Operation of Smart Pixel Array

- Processes the spatially-converted data in parallel, using an array of detectors
- The data would be XORed electronically with a stored key, to implement a stream cipher.
- The processed data then drives an optoelectronic modulator array inserted in a suitable space-to-time converter to get back to a serial ultrafast optical signal.
- Works out to frame rates of a few Gb/s to be able to achieve overall data rates exceeding 100 Gb/s.

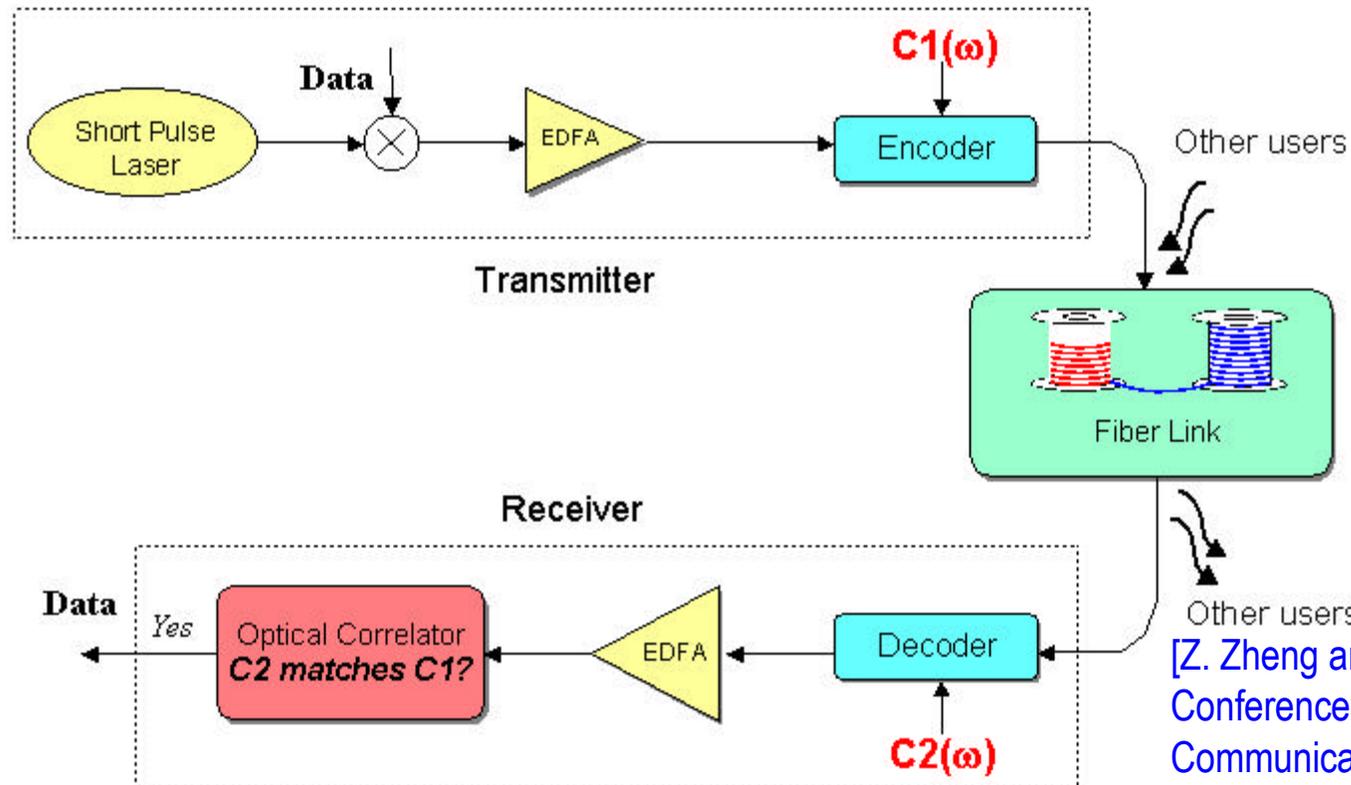


# Other Approach to Encryption: Optical CDMA

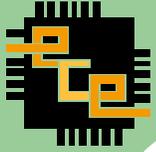
- Encoding/decoding of ultrafast waveforms at the bit level
- Provide security at the physical layer
  - Keep unauthorized users without key from getting access to the bitway.
- Can circumvent electronics bottlenecks and potentially implement directly in the optical domain certain network operations, such as addressing and security, which traditionally have been performed electronically



# Schematic of Ultrashort Pulse Optical CDMA



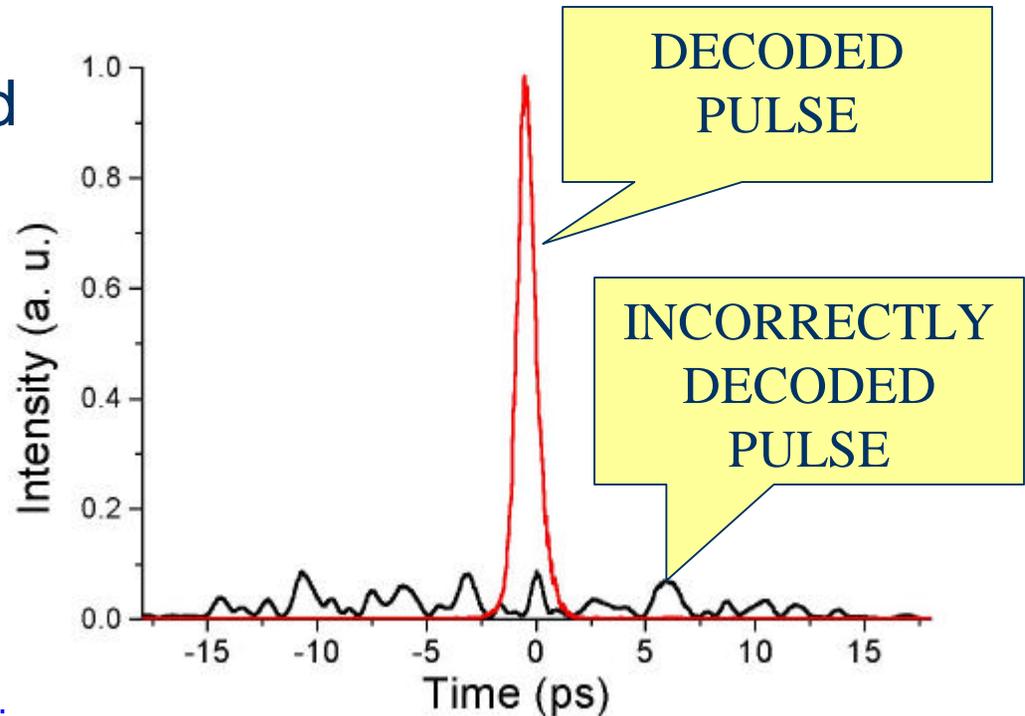
[Z. Zheng and A.M. Weiner,  
Conference for Optical Fiber  
Communication, Baltimore, Mar.  
2000]

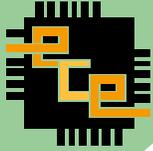


# Decoding a Pulse

We have demonstrated coding, transmission, decoding, and optical correlation of femto-second pulses over multi-kilometer fiber spans.

Modified from [Z. Zheng, S. Shen, H. Sardesai, C.-C. Chang, J.H. Marsh, M.M. Karhkhanehchi, and A.M. Weiner, *Optics Communications* Vol. 167, P. 225, Aug. 1999]





## Conclusion

- Using space-time processing technique, we can perform encryption operation on optical data at the physical layer, especially in the high-speed optical TDM transmission.
- Optical CDMA scheme can also encode and decode optical data at the physical layer for the purposes of addressing and security.