



# Indiana Statewide Cybersecurity

Yujie Zhang, Xianshun Jiang  
Advised by Dr. Mohammad Nouredine

## Explainability of Machine Learning in Intrusion Detection Systems

### Motivation

- Increasing Network Security Threat
- Huge economic loss due to attacks
- **Potential problems of machine learning models in IDS (Intrusion Detection Systems)**
  - Semantic Gap
  - Shortcut Learning
  - High Cost of Errors

### Definition of Explainability

- The degree to which a human can understand the cause of a decision

### Data Preprocessing

- Remove features with only 1 unique value
- Drop records with Nan Values
- Build reference table for string types
  - Find unique value of each features
  - Build a table based on the unique value
- Replace the original string values with index on reference table

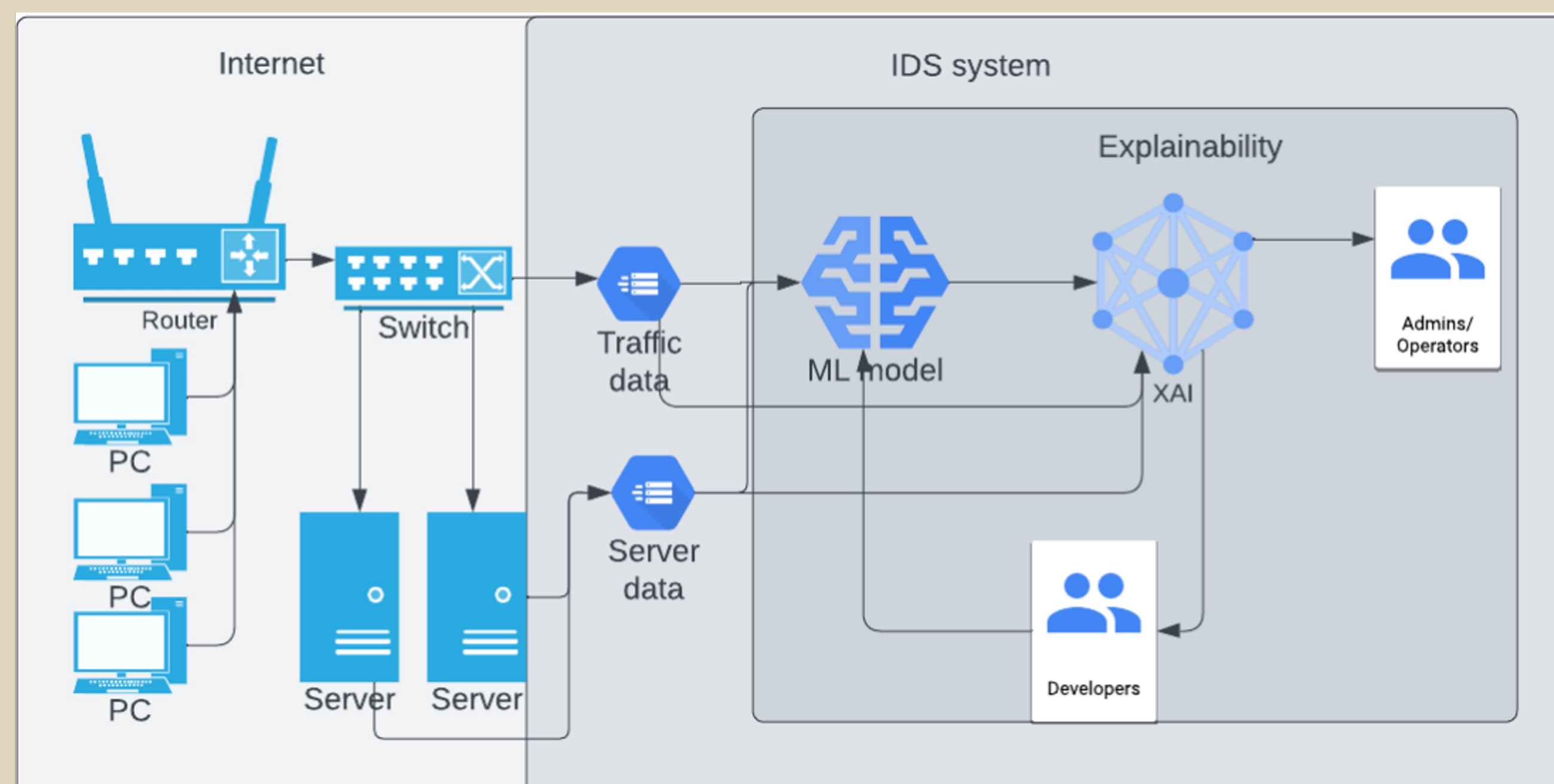


Figure 1: Our Pipeline of Implementing XAI on Network Environment

### SHAP and shapley value distributions

- An Idea from game theory
- Calculate contributions of each feature to the prediction
- Explain the output of models locally with visualized reports

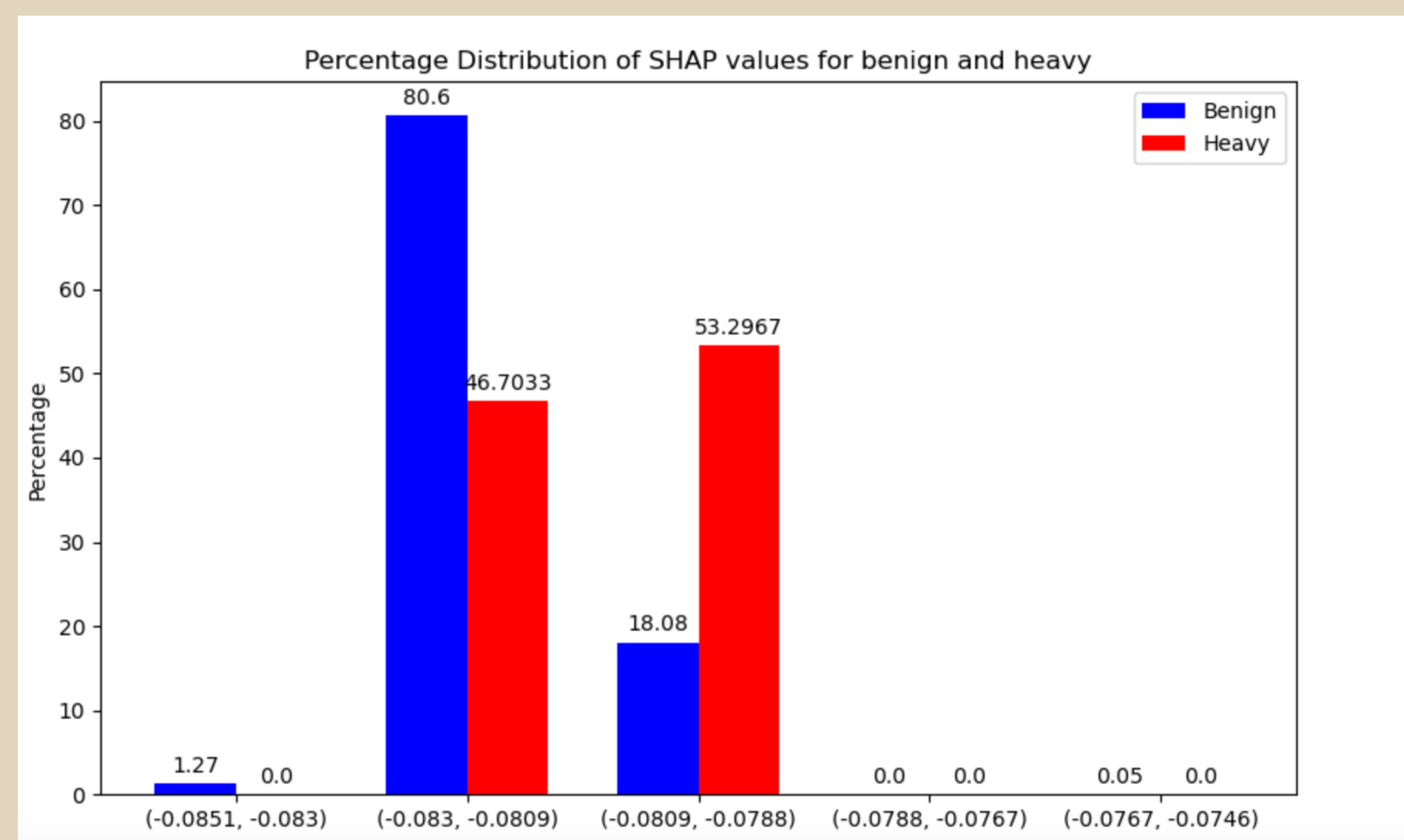


Figure 3: SHAP value distribution histogram for a specific feature value for both benign and malicious records

- Calculate the SHAP value for every feature and values for all records
- Combine the result based on the feature names and feature values
- Conclude the distribution of the SHAP value
- Give a weight for each feature based on the distribution and fit a formula for the firewall

### Algorithm 1 Global Explanation

```

1: procedure PANAROMA( $\pi, D, I, G, E, S$ )
2:   Begin Procedure:
3:   Initialize dataset using black-box
4:    $D \leftarrow \pi(\forall x \in D)$ 
5:   Initialize default parameter grids
6:    $G \leftarrow G_0$ 
7:   Initialize temporary grids
8:    $G_{temp} \leftarrow None$ 
9:   for  $i \leftarrow 1$  to 3 do
10:    Use grid-search on the dataset using grid  $G$ 
11:     $G' \leftarrow Grid-Search(D, G)$ 
12:    if  $G' == G_{temp}$  then
13:      Break
14:    end if
15:    Update temporary grid  $G_{temp}$ 
16:     $G_{temp} \leftarrow G'$ 
17:    Update grid that centered at  $G'$ 
18:     $G \leftarrow Matrix(G)$ 
19:  end for
20:  Get the best model  $G_{best}$ 
21:   $G_{best} \leftarrow G'$ 
22:  Initialize Explanation  $E$ 
23:   $E \leftarrow G_{best}$ 
24:  for  $i \leftarrow 1$  to  $I$  do
25:    Sample dataset  $D$ 
26:     $D' \leftarrow Split(D, S)$ 
27:    Train the model  $G_{best}$ 
28:     $G' \leftarrow Fit(G_{best}, D')$ 
29:    if  $G'_{score} > E_{score}$  then
30:       $E \leftarrow G'$ 
31:    end if
32:  end for
33:  Prune selected tree  $E \leftarrow Prune(E)$ 
34:  return  $E$ 
35: end procedure

```

Figure 2: Global Explanation Algorithm

### Conclusion

- For maliciously predicted traffic, tell the network operators about the features and corresponding values that might cause the prediction result based on the distribution
- Provide a improved formula as the firewall rule based on the data

### Future Work

- Self-update the formula for the firewall rule based on the new incoming traffics
- Implement the user interface